

JAN 05 2007

## BLAKELY SOKOLOFF TAYLOR &amp; ZAFMAN LLP

TELEPHONE: (310) 207-3800

INTELLECTUAL PROPERTY LAW  
12400 WILSHIRE BOULEVARD, 7TH FLOOR  
LOS ANGELES, CA 90025FACSIMILE: (310) 820-5988  
(310) 820-5720

## FACSIMILE COVER SHEET

Deliver to: Patel, K. M., USPTO Art Group: 2188  
 Facsimile No.: (571) 273-8300 Date: January 5, 2007  
 From: Joseph Lutz, Reg. No. 43,765  
 Our Docket No.: 42P17030 Number of pages 17 including this sheet.  
 Application No.: 10/611,619 Filing Date: 6/30/2003  
 Docket Due Date(s): 1/8/2007

Enclosed are the following documents:

- |   |   |
|---|---|
| <input checked="" type="checkbox"/> Amendment: <u>After Final</u> ( <u>13</u> pgs)  | <input type="checkbox"/> Issue Fee Transmittal                                    |
| <input type="checkbox"/> Appeal Brief ( <u>    </u> pgs)                            | <input type="checkbox"/> Notice of Appeal   |
| <input type="checkbox"/> Application: <u>                                </u>       | <input type="checkbox"/> Petition for: <u>                                </u>    |
| ( <u>    </u> pgs) w/cover & abstract)  | <input type="checkbox"/> Request for Continued Examination (RCE)                  |
| <input type="checkbox"/> Assignment & Cover Sheet ( <u>    </u> pgs)                | <input type="checkbox"/> Reply Brief ( <u>    </u> pgs)                           |
| <input checked="" type="checkbox"/> Certificate of Facsimile                        | <input type="checkbox"/> Request & Certification Under 35 USC 122(b)(2)(B)(i)     |
| <input type="checkbox"/> Continued Prosecution Application (CPA)                    | <input type="checkbox"/> Request to Rescind Previous Nonpublication Request       |
| <input type="checkbox"/> Declaration & POA ( <u>    </u> pgs)                       | <input type="checkbox"/> Response to Notice of Missing Parts & Formalities Letter |
| <input type="checkbox"/> Drawings: <u>    </u> sheets, <u>    </u> figures          | <input type="checkbox"/> Response to Written Opinion ( <u>    </u> pgs)           |
| <input type="checkbox"/> Extension of Time: <u>                                </u> | <input type="checkbox"/> Terminal Disclaimer                                      |
| <input checked="" type="checkbox"/> Fee Transmittal (In duplicate)                  | <input type="checkbox"/> Transmittal of Publication Fee Due                       |
| <input type="checkbox"/> IDS & PTO/SB/08 ( <u>    </u> pgs)                         | <input checked="" type="checkbox"/> Transmittal Letter                            |
| <input type="checkbox"/> Other <u>                                </u>              |   |

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Annie McNally 1/5/2007  
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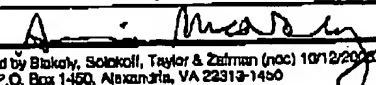
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JAN 05 2007

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application No.	10/611,619	
	Filing Date	June 30, 2003	
	First Named Inventor	Zhong-Ning Cai	
	Art Unit	2188	
	Examiner Name	Patel, K. M.	
Total Number of Pages in This Submission	16	Attorney Docket Number	42P17030

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; height: 60px; width: 100%;"></div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Joseph Lutz, Reg. No. 43,765 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	January 5, 2007

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Typed or printed name	Annie McNally	Date	January 5, 2007
Signature			

Based on PTO/SB/21 (08-04) as modified by Blakeley, Sokoloff, Taylor & Zafman (nec) 10/12/2006  
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

JAN 05 2007

**FEE TRANSMITTAL  
for FY 2006**

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)

120.00

## Complete if Known

Application Number	10/611,619
Filing Date	June 30, 2003
First Named Inventor	Zhong-Ning Cai
Examiner Name	Patel, K. M.
Art Unit	2188
Attorney Docket No.	42P17030

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☒ None ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayment of fee(s) ☒ Credit any overpayments

under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

## FEE CALCULATION

## 1. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
25	30*	0	\$0.00
5	6*	0	\$0.00
Multiple Dependent			
Large Entity	Small Entity		
Fee Code	Fee Code	Fee Description	
1202 50	2202 25	Claims in excess of 20	
1201 200	2201 100	Independent claims in excess of 3	
1203 380	2203 180	Multiple Dependent claims, if not paid	
1204 790	2204 395	**Release independent claims over original patent	
1205 300	2205 150	**Release claims in excess of 20 and over original patent	
SUBTOTAL (1)			(\$) 0.00

\*or number previously paid, if greater. For Releases, see below

## 2. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1011 130		2051 65		Surcharge - late filing fee or oath	
1012 50		2052 25		Surcharge - late provisional filing fee or cover sheet	
2013 130		2053 130		Non-English specification	120.00
1231 120		2231 60		Extension for reply within first month	
1232 450		2232 225		Extension for reply within second month	
1233 1,020		2233 510		Extension for reply within third month	
1234 1,580		2234 795		Extension for reply within fourth month	
1235 2,180		2235 1,080		Extension for reply within fifth month	
1401 500		2401 250		Notice of Appeal	
1402 500		2402 250		Filing a brief in support of an appeal	
1403 1,000		2403 500		Request for oral hearing	
1481 1,510		2451 1,510		Petition to institute a public use proceeding	
1482 130		2452 130		Petitions to the Commissioner	
1507 50		1807 50		Processing fee under 37 CFR 1.17(q)	
1508 180		1808 180		Submission of Information Disclosure Sheet	
1509 790		1809 395		Filing a submission after final rejection (37 CFR § 1.129(a))	
1810 790		2810 395		For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify)					
SUBTOTAL (2)					(\$) 120.00

## SUBMITTED BY

Name (Print/Type) Joseph Lutz

Registration No.  
(Attorney/Agent)

43,765

Telephone

(310) 207-3800

Signature

Date

01/05/07

Based on PT C/SB/17 (12-04) as modified by Blakely, Sokoloff, Taylor & Zafman (wtr) 12/15/2004.  
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REPLY UNDER 37 CFR 1.116  
EXPEDITED PROCEDURE  
TECHNOLOGY CENTER 2800

Attorney's Docket No.: 42P17030

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application for:

Zhong-Ning Cai, et al.

Application No.: 10/611,619

Filed: June 30, 2003

For: **APPARATUS AND METHOD FOR AN  
ADAPTIVE MULTIPLE LINE  
PREFETCHER**

Examiner: Patel, Kaushikkumar M.

Art Group: 2188

**AMENDMENT AND RESPONSE TO FINAL OFFICE ACTION**

Mail Stop AF  
Commissioner for Patents  
P. O. 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Final Office Action mailed October 18, 2006, Applicants respectfully request reconsideration of the above-identified application in view of the following amendments and remarks.

01/08/2007 TL0111 00000053 022666 10611619  
01 FC:1251 120.00 DA